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JC10 Rec'd PCT/PTO 24 OCT 2001

BOX PCT
PATENT
32860-000191

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IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicants: Annelie STOEHR

Int'l Application No.: PCT/DE00/01035

Application No.: NEW

Filed: October 24, 2001

For: METHOD AND ARRANGEMENT FOR DESIGNING A
TECHNICAL SYSTEM

INFORMATION DISCLOSURE STATEMENT
(SUBMISSION CONCURRENT WITH THE
FILING OF A NEW PATENT APPLICATION)

BOX PCT

Assistant Commissioner for Patents
Washington, DC 20231

October 24, 2001

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II. COPIES

☒ Submitted herewith is a legible copy of (i) each U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.

☐ This application is a National Phase of a PCT application. Some or all of the documents listed on the PTO-1449 are not enclosed because they were cited in the International Search Report and copies should be forwarded from the International Search Authority. If copies are needed, please contact the undersigned.

III. CONCISE EXPLANATION OF THE RELEVANCE
(check at least one box)

a. ☒ **DOCUMENTS IN THE ENGLISH LANGUAGE**

The attached patents, publications, or other information in the English language do not require a statement of relevancy.

b. ☒ **DOCUMENTS NOT IN THE ENGLISH LANGUAGE**

A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

Many of the documents have been discussed in the PCT Search Report, the PCT Preliminary Examination Report, and/or throughout the specification. The PCT Search Report and PCT Preliminary Examination Report, along with a German translation aid translating key terms into English, indicate the degree of relevance found by the PCT Office, thereby satisfying the requirement for a concise explanation. See MPEP 609(A)(3).

c. ☐ **ENGLISH LANGUAGE SEARCH REPORT**

An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(A)(3).

d. ☒ **OTHER**

The following additional information is provided for the Examiner's consideration.

Applicants include a German translation aid for translating terminology in the PCT Search Report and Examination Report to thereby satisfy Applicant's requirement for an English language translation thereof.

New U.S. Application
Docket No. 32860-000191

FEES

This Information Disclosure Statement is being filed concurrently with the filing of a new patent application; therefore, no fee is required.

If The Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 08-0750.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 08-0750 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Respectfully submitted,

HARNESS, DICKEY & PIERCE, P.L.C

By: _____

Donald J. Daley, Reg. No. 34,313

P.O. Box 8910
Reston, Virginia 20195
(703) 390-3030

DJD:kna

Enclosures: ☒

Form PTO-1449(s)

☒ Documents

☒ Foreign Search Report

☐ Fee

☒ Other: German Translation Aid

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| Form PTO-1449 <p style="text-align: center;">INFORMATION DISCLOSURE CITATION IN AN APPLICATION</p> <p style="text-align: center;">(Use several sheets if necessary)</p> | ATTY DOCKET NO. 32860-000191 | APPLICATION NO. NEW |
| | APPLICANT Annelie STOEHR | CONF. NO. Unknown |
| | FILING DATE October 24, 2001 | GROUP Unknown |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
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FOREIGN PATENT DOCUMENTS

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| | 197 04 314 C1 | | GERMANY | | | | x |
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OTHER DOCUMENTS (Include Name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.

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| | | "Further Experimental Evidence Pertaining To The Applicability Of The ITO And Stratonovic Stochastic Calculi To Real Physical Systems", McClintock et al., <u>Physics Letters</u> , Vol. 107A. No. 8, pp. 367-369, 2/25/85. |
| | | "Stochastic Dynamics Of Non-Linear Systems Excited By Parametric Delta Correlated Processes", M. DiPaola et al., pp. 930-933. |
| | | "The Similarity Method In Stochastic Dynamical Systems", T. Misawa, <u>IMA Journal of Applied Mathematics</u> , Vol. 59, pp. 261-272, 1997. |
| | | "Unconstrained Global Optimization", Ch. II, pp. 19-28. ✓ |
| | | "Elementare Integrationsformeln, Fehlerabschätzungen", <u>3 Integration von Funktionen</u> , pp. 139-145. ✓ |
| | | "Stochastic Integration and Differential Equations – A New Approach", P. Protter, Springer-Verlag, New York, <u>Applications of Mathematics</u> , pp. 214-235. |
| | | "Linear and Nonlinear Programming", D.G. Luenberger, <u>Addison-Wesley Publishing Company</u> , Second Edition, pp. 334-337. ✓ |
| | | "A Stochastic Method for Constrained Global Optimization", K. Ritter et al., <u>SIAM 3. Optimization</u> , Vol. 4, No. 4, pp. 894-904, 1994. ✓ |

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| EXAMINER | DATE CONSIDERED |
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.